Keywords: flexural strength, lintels, strength design, structural properties

INTRODUCTION

Lintels function as beams to support the wall weight and other loads over an opening, and to transfer these loads to the adjacent masonry. Because of their rigidity, strength, durability, fire resistance and aesthetics, the most common types of lintels for concrete masonry construction are those manufactured of precast reinforced concrete or reinforced concrete masonry units (ref. 3). The color and surface texture of these lintels can be used as an accent or to duplicate the surrounding masonry.

LINTEL DIMENSIONS

Precast lintel dimensions are illustrated in Figure 1. Precast concrete lintels are manufactured to modular sizes, having specified dimensions corresponding to the concrete masonry units being used in the construction.

A modular lintel length should be specified, with a minimum length of the clear span plus 8 in. (203 mm), to provide at least 4 in. (102 mm) bearing at each end (ref. 1). Additionally, if lintels are subjected to tensile stresses during storage, transportation, handling, or placement, it is recommended that steel reinforcement be provided in both the top and bottom to prevent cracking. Minimum concrete cover over the steel should be 1\(\frac{1}{2}\) in. (13 mm). The lintel width, or width of the combination of side-by-side lintels, should equal the width of the supported masonry wythe.

Lintels should be clearly marked on the top whenever possible to prevent the possibility of improper installation in the wall. In the event the top of the lintel is not marked and may be installed upside down, the same size bars should be used in both the top and bottom.

LINTEL DESIGN

Precast concrete lintels are designed using the strength design provisions of Building Code Requirements for Structural Concrete, ACI 318-99 (ref. 2). In strength design, service loads are increased to account for variations in anticipated loads, becoming factored loads. The lintel is then sized to provide sufficient design strength. Further information on determining design loads for lintels is included in Allowable Stress Design of Concrete Masonry Lintels, TEK 17-1A (ref. 3).

Nominal lintel strength is determined based on the strength design provisions of ACI 318 and then reduced by strength reduction factors, called phi (\(\phi\)) factors. These factors account for any variability in materials and construction practices. The resulting capacity needs to equal or exceed the factored loads.

Precast concrete strength reduction factors are 0.9 and 0.85 for flexure and shear, respectively (ref. 2).

Tables 1 through 4 list design moment and shear strengths for various precast lintel sizes and concrete strengths, based on the following criteria (ref. 2).

Flexural strength:
\[
\phi M_f = \phi [A_f(d-a/2)], \quad \phi = 0.9
\]

Shear strength, no shear reinforcement:
\[
\phi V_s = \phi (2) (f')^{1/2} bd, \quad \phi = 0.85
\]

ACI 318 contains requirements for minimum and maximum reinforcing steel areas to ensure a minimum level of performance. Minimum reinforcement area for lintels is \(A_{\text{min}} = 3(f')^{1/2}bd/f_z\), but not less than 200bd/f_z. In addition, the reinforcement ratio is limited to 75% of the balanced reinforcement ratio, \(\rho_{\text{max}} = 0.75\rho_s\).
Deflection criteria for lintels is based on controlling cracking in the masonry being supported. Consequently, less deflection is allowed when the lintel supports unreinforced masonry. In this case, lintel deflection is limited to the effective span of the lintel (measured in inches) divided by 600 ($L/600$) (ref. 1). In addition, ACI 318 limits precast lintel deflection to $L/240$ when the element supported by the lintel is not likely to be damaged by large deflections, and $L/480$ when the element supported by the lintel is likely to be damaged by large deflections. Lintel deflection is calculated based on the effective depth, $d$, as follows (ref. 2, Section 9.5.2.3).

$$I_e = (M_e/M_{max\text{,}eff})^2 I_y + [1 - (M_e/M_{max\text{,}eff})] I_{cr} \leq I_g$$

Shrinkage and creep due to sustained loads cause additional long-term deflections over and above those occurring when loads are first applied. ACI 318 requires that deflections due to shrinkage and creep are included, and provides an expression to estimate this additional deflection (ACI 318 Section 9.5.2.5):

$$\lambda = \frac{\xi}{1 + 50\rho}$$

where $\xi = 2.0$ for exposures of 5 years or more.

**DESIGN EXAMPLE**

The residential basement wall shown in Figure 3 needs a lintel over the window opening. The floor live load is 400 lb (1.8 kN) per joist and the floor dead load is 100 lb (0.44 kN) per joist. Consider the floor joist loads, spaced at 16 in. (406 mm) on center, as uniformly distributed. Use a lintel self-weight of 61 lb/ft (0.89 kN/m) and weight of 77.9 lb/ft (406 mm) on center, as uniformly distributed. Use a lintel over the window opening. The floor live load is 400 lb (1.7 kN) per joist and the floor dead load is 100 lb (0.44 kN) per joist. Consider the floor joist loads, spaced at 16 in. (406 mm) on center, as uniformly distributed. Use a lintel self-weight of 61 lb/ft (0.89 kN/m) and weight of 77.9 lb/ft (406 mm) on center, as uniformly distributed.

**DETERMINATE EFFECTIVE DEPTH, $d$:** Assuming an 8 in. (203 mm) high lintel with two No. 4 (13M) bars,

$$d = 7.625 \text{ in.} - 1.5 \text{ in.} - 0.5/2 \text{ in.} = 5.88 \text{ in.} (149 \text{ mm})$$

**CHECK FOR ARCHING ACTION:** The effective span length, $L = 96 + 5.88 = 101.9$ in. (2588 mm). Since the height of masonry above the opening is less than $L/2$, arching of the masonry over the opening cannot be assumed (see ref. 4 for detailed information about determining arching action).

**DETERMINE DESIGN LOADS:**

$$LL = (400 \text{ lb})(12/16 \text{ in.}) = 300 \text{ lb/ft (4.4 kN/m)}$$

Dead loads include floor, wall, and lintel self-weight.

$$D_{floor} = 100 \text{ lb (12/16 in.)} = 75 \text{ lb/ft (1.1 kN/m)}$$

$$D_{lintel} = 61 \text{ lb/ft (0.89 kN/m)}$$

$$D_{beam} = (77.9 \text{ lb/ft})(7.625/12 \text{ ft}) = 50 \text{ lb/ft (0.31 kN/m)}$$

$$D_{total} = (75 + 61 + 50) = 186 \text{ lb/ft (3.2 kN/m)}$$

For deflection calculations use loads as given above. For strength design multiply live loads by 1.7 and dead loads by 1.4.

**MAXIMUM MOMENT AND SHEAR FOR STRENGTH DESIGN:**

$$M_{max} = wL^2/8 = [(1.7)(300)+ (1.4)(186 \text{ lb/ft})(101.9 \text{ in.})/8](\text{ft/12 in.}) = 83,328 \text{ in.-lb (9.4 kN}\cdot\text{m})$$

$$V_{max} = wL/2 \text{ (at distance "d" from support) (ref. 2)} = [(1.7)(300)+(1.4)(186 \text{ lb/ft})](101.9/2-5.88 \text{ in.})(\text{ft/12 in.}) = 2,893 \text{ lb (12.9 kN)}$$

From Table 3, an 8 x 8 in. (203 x 203 mm) lintel with two No. 4 (13M) bars and $f'c = 4000 \text{ psi (20.7 MPa)}$ has sufficient strength.

**CHECK DEFLECTION:** Deflection is determined using the effective moment of inertia of the lintel, $I_g$, as follows (ref. 2).

$$E_s = w_{t}^{1/2} f'c^{1/2} = (150 \text{ pcf})^{1/2}(4000 \text{ psi})^{1/2} = 3,834,000 \text{ psi (26,400 MPa)}$$

$$f_s = 7.5 f'c^{1/2} = 474 \text{ psi (3.3 MPa)}$$

$$y_s = 7.625 \text{ in.}/2 = 3.81 \text{ in. (97 mm)}$$

$$I_g = bh^3/12 = (7.625 \text{ in.})(7.625 \text{ in.})^3/12 = 282 \text{ in.}^4 (11,725 \text{ cm}^4)$$

$$M_{cr} = f_s y_s = 474 \text{ psi (282 psi)}$$

$$M_{max} = wL^2/8 = [(300+186 \text{ lb/ft})(101.9 \text{ in.})/8](\text{ft/12 in.}) = 52567 \text{ in.-lb (5.9 kN}\cdot\text{m})$$

$$(M_{max}/M_{cr})^3 = (35,083/52567)^3 = 0.297$$

$$n = E_s/E_c = 29,000,000/3,834,000 = 7.6$$

$$\rho = A/(bd) = 0.40 \text{ in.}^2/(7.625 \text{ in.})(5.88 \text{ in.}) = 0.00892$$

$$\nu_p = 7.6(0.00892) = 0.0678$$

$$c = npd(1 + 2/np)^{1/2} - 1 = 0.0678(5.88 \text{ in.})(1 + 2/0.00892)^{1/2} - 1 = 1.80 \text{ in. (45 mm)}$$

**Figure 3 - Wall Configuration for Design Example**
<table>
<thead>
<tr>
<th>Reinforcing No.</th>
<th>3000 (20.7)</th>
<th>3500 (24.1)</th>
<th>4000 (27.6)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$\phi V_n$</td>
<td>$\phi M_n$</td>
<td>$\phi V_n$</td>
</tr>
<tr>
<td></td>
<td>lb (kN)</td>
<td>in.-lb (kNm)</td>
<td>lb (kN)</td>
</tr>
<tr>
<td>3 (10M) 1</td>
<td>2,000 (8.9)</td>
<td>33,140 (3.75)</td>
<td>2,160 (9.6)</td>
</tr>
<tr>
<td>4 (13M) 1</td>
<td>1,980 (8.8)</td>
<td>56,440 (6.38)</td>
<td>2,140 (9.5)</td>
</tr>
<tr>
<td>5 (16M) 1</td>
<td>1,960 (8.7)</td>
<td>80,450 (9.09)</td>
<td>2,110 (9.4)</td>
</tr>
<tr>
<td>4 (13M) 1</td>
<td>3,070 (13.7)</td>
<td>58,930 (6.66)</td>
<td>3,320 (14.8)</td>
</tr>
<tr>
<td>5 (16M) 1</td>
<td>3,040 (13.5)</td>
<td>86,440 (9.77)</td>
<td>3,280 (14.6)</td>
</tr>
<tr>
<td>3 (10M) 2</td>
<td>3,110 (13.8)</td>
<td>65,070 (7.35)</td>
<td>3,350 (14.9)</td>
</tr>
<tr>
<td>4 (13M) 2</td>
<td>3,070 (13.7)</td>
<td>108,820 (12.3)</td>
<td>3,320 (14.8)</td>
</tr>
<tr>
<td>5 (16M) 2</td>
<td>3,510 (15.6)</td>
<td>162,040 (18.3)</td>
<td>4,450 (19.8)</td>
</tr>
</tbody>
</table>

1. Tables based on strength design method as described in ref. 2, assuming 1.5 in. (38 mm) concrete cover and Grade 60 reinforcement, $f_y = 60,000$ psi (413 MPa).
2. Reinforcement at listed effective depth exceeds the maximum reinforcing ratio of 0.75 $\rho_b$.
3. When determining minimum end bearing, the bearing stress of the masonry supporting the lintel should be checked to ensure it does not exceed 0.25$f'_c$ (ref. 1).
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